

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,
CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type: (check one applicable item below)

- ☒ original
☐ design
☐ supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application do not check next item; check appropriate one of last three items.

- ☐ national stage of PCT

NOTE: If one of the following 3 items apply then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR CIP.

- ☐ divisional
☐ continuation
☐ continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

WARNING: If the inventors are each not the inventors of all the claims an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

DIFORMYLUREA AND REACTION PRODUCTS OF UREA AND CARBOXYLIC ACIDS

SPECIFICATION IDENTIFICATION

the specification of which: (complete (a), (b) or (c))

- (a) ☒ is attached hereto.
(b) ☐ was filed on _____ as ☐ Serial No. _____ or ☐ Express Mail No. _____, as Serial No. not yet known and was amended on _____ (if applicable):

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 C.F.R. §1.67.

(Declaration and Power of Attorney [1-1] - page 1 of 4)

(c) () was described and claimed in PCT International Application No. _____ filed
on _____ and as amended under PCT Article 19 on _____ (if any).

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations. §1.56(a).

() In compliance with this duty there is attached an information disclosure statement. 37 CFR §1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

(d) (x) no such applications have been filed.

(e) () such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. claimed priority check item (e), enter the details below and make the priority claim.

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 UCS 119

ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)
(34 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER

FILING DATE

60/015,130

April 10, 1996

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (*List name and registration number*)

Walter R. Brookhart, Reg. No. 29,518; C. James Bushman, Reg. No. 24,810;
Loren G. Helmreich, Reg. No. 29,389; William E. Johnson, Jr., Reg. No.
22,719; and Carlos A. Torres, Reg. No. 26,264; and William P. Jensen, Reg.
No. 36,833

() Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:
(*Name and telephone number*)

Mr. Walter R. Brookhart
Browning Bushman
5718 Westheimer, Suite 1800
Houston, TX 77057

Mr. Walter R. Brookhart
(713) 266-5593

DECLARATION

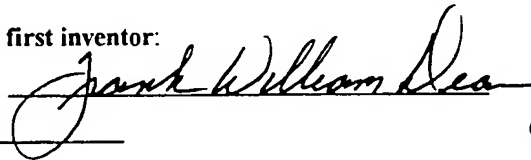
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Full name of sole or first inventor:

Frank William Dean

Inventor's signature:



Date:

4/8/97

Country of Citizenship: U.S.A.

Residence:

Spring, Harris County, Texas

Post office Address:

25414 Sugar Valley

Spring, Texas 77373 USA

CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH
FORM A PART OF THIS DECLARATION

- () Signature for second and third subsequent joint inventors. Number of pages added ____.
- () Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added ____.
- () Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR §1.47. Number of pages added ____.

* * *

- () Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (CIP) application.

() Number of pages added ____

* * *

- () Authorization of attorney(s) to accept and follow instructions from representative.

* * *

If no further pages form a part of this Declaration then end this Declaration with this page and check the following item.

- (x) This declaration ends with this page**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**

Docket No.
STOLLER-11-1

Serial No.

Filing Date

Patent No.

Issue Date

Applicant/ **FRANK WILLIAM DEAN**
Patentee:

Invention: **DIFORMYLUREA AND REACTION PRODUCTS OF UREA AND CARBOXYLIC ACIDS**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **STOLLER ENTERPRISES, INC.**ADDRESS OF CONCERN: **8580 KATY FREEWAY, SUITE 200, HOUSTON, TEXAS 77024**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

- ☒ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME _____

ADDRESS _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

JERRY STOLLER

TITLE OF PERSON SIGNING

OTHER THAN OWNER:

PRESIDENT

ADDRESS OF PERSON SIGNING:

STOLLER ENTERPRISES, INC.

8580 KATY FREEWAY, SUITE 200

HOUSTON, TEXAS 77024

SIGNATURE:



DATE:

4/9/97

RECEIVED

JUL 07 1997



Stoller-11-1 file
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JULY 01, 1997

Browning, Bushman, Brookhart
& Brockhart

PTAS

BROWNING BUSHMAN
WALTER R. BROOKHART
5718 WESTHEIMER, SUITE 1800
HOUSTON, TX 77057



100427450A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/09/1997

REEL/FRAME: 8522/0167
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DEAN, FRANK WILLIAM

DOC DATE: 04/09/1997

ASSIGNEE:

STOLLER ENTERPRISES, INC.
8580 KATY FREEWAY, #200
HOUSTON, TEXAS 77024

SERIAL NUMBER: 08831799

PATENT NUMBER:

FILING DATE:

ISSUE DATE:

PEARLENE FOSTER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

05-30-1997

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



40-58

Tab settings → → → ▼

To the Honorable Commissioner of Patent

100427450

ached original documents or copy thereof.

1. Name of conveying party(ies):
FRANK WILLIAM DEAN

2. Name and address of receiving party(ies):

Name: **STOLLER ENTERPRISES, INC.**

Internal Address: _____

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Street Address: **8580 KATY FREEWAY, #200**

City: **HOUSTON** State: **TX** ZIP: **77024**

Execution Date: **4/9/97**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **4/9/97**

08 831 799

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: **1**

Name: **WALTER R. BROOKHART**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Internal Address: _____

☒ Enclosed

☐ Authorized to be charged to deposit account

Street Address: **BROWNING BUSHMAN**

8. Deposit account number: _____

5718 WESTHEIMER, SUITE 1800

(Attach duplicate copy of this page if paying by deposit account)

City: **HOUSTON** State: **TX** ZIP: **77057**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

WALTER R. BROOKHART

Name of Person Signing

Signature

4/9/97

Date

Total number of pages including cover sheet, attachments, and document: **2**

ASSIGNMENT

WHEREAS, I, Frank William Dean, a citizen of the United States of America residing in the City of Spring, Texas, have made an invention in an DIFORMYLUREA AND REACTION PRODUCTS OF UREA AND CARBOXYLIC ACIDS for which I have executed an application for United States Letters Patent on the 9th day of April, 1997.

WHEREAS, Stoller Enterprises, Inc., a Texas corporation, hereinafter referred to as "ASSIGNEE", having a principal place of business at 8580 Katy Freeway, #200, Houston, Texas 77024, is desirous of acquiring the same;

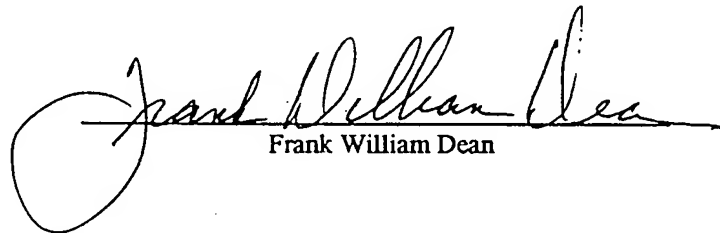
NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by us, I have assigned, sold, transferred and set over and by these presents do assign, sell, transfer and set over unto said ASSIGNEE the entire right, title and interest in and to (a) said invention and world-wide rights therein, (b) said application, including all divisions, continuations, continuations-in-part and substitutions thereof, and (c) all United States and foreign patents which shall issue on said inventions, including all reissues, renewals and extensions thereof, for the United States, its territories and possessions and all foreign countries, including the right to file applications for Letters Patent on said invention in any and all foreign countries, the same to be held and enjoyed by said ASSIGNEE, its assigns and successors, as fully and entirely as the same would have been held and enjoyed by me, had this assignment and sale not been made.

I covenant and agree that I will, at any time upon the request and at the expense of said ASSIGNEE, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable, in the opinion of said ASSIGNEE, to enable and assist said ASSIGNEE to (a) obtain Letters Patent, both domestic and foreign, on said invention, (b) establish, maintain and secure title in said ASSIGNEE, its successors and assigns, to said invention, application and Letters Patent, including making such title of lawful public record, and (c) defend, establish or otherwise preserve the validity of said Letters Patent against any and all infringers, and perform such other acts as are necessary to give full force and effect to this assignment.

I hereby authorize and request the Commissioner of Patents of the United States to issue all Letters Patent based on said application and all said divisions, continuations, continuations-in-part, reissues, renewals and extension to said ASSIGNEE, its successors and assigns.

IN TESTIMONY WHEREOF, I have duly executed this assignment on the date opposite my signature below.

Date: April 9, 1997

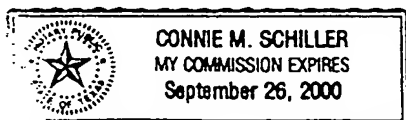

Frank William Dean

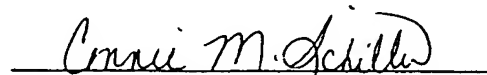
STATE OF TEXAS §
 §
COUNTY OF HARRIS §

BEFORE me, the undersigned authority, on this day personally appeared Thomas B. Thrash, Jr., known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 9th day of April, A.D. 1997.

SEAL




Notary Public in and for
the State of Texas